



# HERA JRP "Public Spaces: Culture and Integration in Europe"

## **Guidelines for Applicants: Full Proposals**

Full Proposals can only be submitted by applicants who have been selected by the HERA JRP PS Board. Full Proposals must be submitted by Project Leaders via the online submission system Isaac (hosted by NWO) before the deadline of <a href="Wednesday">Wednesday</a>, 9 May 2018, 14:00 CEST (Central European Summer Time). The link to Isaac is communicated to the applicants in the invitation email to submit a Full Proposal. Project Leaders must submit the application on behalf of all partners of the Collaborative Research Project (CRP).

In developing the Full Proposals, applicants must follow the guidelines and the application structure as explained below, build on their previous Outline Proposal, and continue to ensure a strong fit to the "Public Spaces" Theme and Scope, provided in Annex A. The list of the HERA JRP PS Contact Points is provided in Annex B.

HERA expects applications submitted to the Full Proposal phase to be consistent with the initial Outline Proposals. However, we recognize the importance of Outline Proposals to evolve and that changes may be required in case of *force majeure* or to address feedback from the Outline Proposal phase. However, if major changes, such as a new PI, or a fundamental realignment of the project focus are considered necessary, applicants should first contact both their national contact point(s) and the HERA Handling Agency at NWO for advice as to whether such amendments are acceptable.

All relevant call documents: Guidelines for Applicants, Application Template for Full Proposal, Budget Template, General and National Eligibility Requirements, HERA JRP Glossary and Frequently Asked Questions (FAQs) are available at the HERA website, <a href="http://heranet.info/funding/current-funding-opportunities/hera-public-spaces-2019-2022/">http://heranet.info/funding/current-funding-opportunities/hera-public-spaces-2019-2022/</a>. **Please note:** 

- Documents have been updated for the Full Proposal phase and must be checked again;
- In addition to the general submission and eligibility requirements, some national funding organisations require additional documentation to be submitted. Please carefully check the relevant national requirements well before the submission deadline.

#### 1. Project Structure and roles of project partners

- Each Collaborative Research Project (CRP) must comprise at least four eligible Principal Investigators (PI), based in institutions eligible to HERA JRP PS funders from four or more different countries. The CRP is led by one of the PIs, the Project Leader. Applicants are strongly encouraged to take equality and diversity dimension issues into consideration. Each project team should strive to include researchers early in their careers as participants in the project. HERA strives to promote gender equality, and encourages female researchers in particular to apply.
- The Project Leader (PL) is responsible for carrying out and managing the CRP, in addition to
  his/her project related research. The PL is a researcher with solid experience of managing
  collaborative research projects. S/he will be the contact point for the HERA JRP Handling Agency
  throughout the application and running phase and is responsible for communication with the other



partners of his/her CRP. The PL is responsible for the intellectual agenda and coherence of the project, as well as the administrative and financial management of the complete CRP including the reporting duties.

- Each Principal Investigator (PI) is responsible for leading research activities at his/her institution. A Ph.D. is prerequisite for application as PI, however, in some countries applicants with equivalent research experience are also eligible to apply. Other researchers (senior researchers, post-doctoral researchers, Ph.D. students) can be part of the PI's project team and should be referred to as Project Team Members. Please consult the National Eligibility Requirements for full information. Some PIs will have additional reporting duties to their national agency regarding administrative and financial matters. See national eligibility and funding conditions for more details.
- **Associate Partners (APs)** are stakeholders, for example in the public sector, policy makers, and the creative and cultural sectors, who may provide significant added value and valuable insights to the CRP from the user's perspective. They can be included in the proposal in a collaborative and advisory capacity to help explore the knowledge exchange potential of the proposed research.
- A CRP may comprise researchers from non-HERA JRP PS countries in the form of **Cooperation Partners (CPs)** in order to strengthen the research capacity of the consortium. Note that Cooperation Partners may only be added to an eligible HERA JRP PS consortium and that they cannot apply for HERA JRP PS funding themselves (see 2. Eligibility).

#### In order to be evaluated, proposals must be:

- 1) submitted via the online application system before the submission deadline;
- **2)** <u>submitted by an eligible research consortium.</u> Note that if one of the research partners in a CRP consortium is not eligible then the whole consortium is not eligible;
- 3) complete and follow the prescribed format.

#### 2. Eligibility

#### **Eligibility of applicants**

- Only applicants eligible to the HERA JRP PS funding organisations in the following countries can apply, irrespective of their nationality: Austria, Belgium (Wallonia), Croatia, Czech Republic, Denmark, Estonia, Finland, France, Germany, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Netherlands, Norway, Poland, Slovakia, Slovenia, Spain, Sweden, Switzerland and United Kingdom.
- Each Collaborative Research Project (CRP) must comprise at least **four Principal Investigators**, **each** based in an eligible university or a research institute **in a different HERA JRP PS country**.
- Depending on the national eligibility requirements, each applicant must either be considered **eligible** for funding by the HERA JRP PS country where (s)he works or apply on behalf of an organisation **eligible** for funding for a HERA JRP PS funder.
- Please read carefully the National Eligibility Requirements available from the HERA website. If you have any queries, contact your respective national funding organisations.
- A Principal Investigator (PI) can participate as a PI or Project Leader (PL) in a single HERA JRP PS proposal only. If a PI is participating in more than one proposal, all these proposals will be declared ineligible and will not be evaluated.



- The Project Leader (PL) will be one of the Principal Investigators (PI) in the CRP and will act as coordinating partner.
- Each CRP will be coordinated by a **Project Leader (PL)** who is responsible for the research leadership and administrative coordination of the CRP.
- The consortium partners in the participating countries in the CRP will each assign one **Principal Investigator (PI)** per institute to coordinate the administrative and financial responsibilities of the CRP at the institutional level.
- The team of each **Principal Investigator (PI)** may include a number of other senior and/or junior researchers (Project Team Members) carrying out the actual research planned.
- For each **Associate Partner (AP)** a letter of commitment must be submitted which specifies the contribution of the partner to the CRP. The contribution of these partners to the CRP's knowledge exchange potential should be well integrated in the workplan. No CVs of Associate Partners may be included in the proposal.
- The contribution of **Cooperation Partners** (researchers from non-HERA JRP PS countries) to the project must be specified in the description and planning of the CRP. For each CP a letter of commitment must be submitted, specifying the contribution to the project. Note that CPs cannot function as PI; CVs may not be included.

#### **Eligible costs**

- The total budget requested from the HERA JRP must not exceed 1 M€ across all participating partners.
- CRPs must be between 24 and 36 months in duration. CRPs must start by May 2019 and finish by May 2022 at the latest.
- The estimated budget must be given in **Euros only** and be tabulated according to the application template provided.
- All costs must be eligible according to national eligibility rules. Eligible costs will generally include direct costs such as employment costs (PL, PIs, and other Project Team Members, e.g. Ph.D. students, researchers, administrative personnel, etc.), equipment, travel and meeting costs, consumables, dissemination and knowledge transfer costs as well as the overheads. Please read the National Eligibility Requirements to verify the eligibility of specific budget items according to the rules of your national funding organisation. For any queries, please contact the person listed in Annex B for your respective national funding organisation.
- Projects will also be expected to engage in knowledge exchange activities regarding the outcomes
  of the CRPs. Costs for these activities must be included in the proposal in addition to the costs for
  research (subject to national eligibility rules). Please also see page 12 of this document, and the
  HERA Knowledge Exchange Guide at <a href="https://www.heranet.info">www.heranet.info</a>.
- Associate Partners and/or Cooperation Partners cannot themselves apply for HERA JRP PS funding. However certain costs (e.g. travel and subsistence costs for attending CRP-related events as well as subcontracting) may be reimbursed, depending on National Eligibility Requirements and National Funding Rules. Commitments from APs and CPs must be articulated in letters of commitment, and financial aspects can be recorded in the budget table in the section 'to be funded from additional funds'.
- The costs for the participation at the HERA JRP "Public Spaces: Culture and Integration in Europe" Launch, Mid Term and Final Conferences must be included in your travel cost estimates. The conferences will each be a one and a half days event taking place in one of the HERA JRP PS countries. On average for one to two days events, costs to be budgeted per person are € 850,



which means that when there are four PIs and 2 APs in a consortium on average € 15,300 must be budgeted for participating in HERA JRP PS meetings. If you have numerous PIs and APs, please budget for no more than 6 people per event (launch, mid and final event) as it is likely that attendance per project will be limited.

• Recipients of HERA JRP PS funding are required to use Open Access publishing wherever possible (see section 7. Open Access). Costs related to Open Access publishing may be eligible for funding depending on the National Eligibility Requirements relevant to the partners in the CRP.

# 3. Format of the application: online application process, proposal structure and requested budget

#### **Online Application Process**

The Full Proposal must be submitted by the Project Leader on behalf of all partners of the Collaborative Research Project (CRP) via the online submission system Isaac, hosted by NWO. The link to Isaac and further instructions are communicated to the Project Leaders of the CRPs which are invited to submit a Full Proposal.

A project summary of no more than 300 words should be entered into Isaac providing the following information:

- Relevance of the research topic to the Call for Proposals
- Objectives/expected outcomes of the CRP
- Explanation on how the CRP would be implemented

This project summary will be published on the HERA website if the proposal will be selected for funding.

Having filled out the online form in Isaac, you are required to upload the Full Proposal as **one** document in PDF format ("Application Form"), as well as **one** document in Excel format, containing the filled out project information and the budget tables. Some national funding organisations require additional documentation to be submitted. Please carefully check the relevant national requirements well before the submission deadline!

#### **Proposal structure**

The Full Proposal must be **one** PDF document and **one** Excel document, which must follow the structure below. In order to prepare the application, the Full Proposal template (Word) and HERA JRP PS Full Proposal budget tables (Excel) must be used (available for download on the HERA website).

The document should be written in English. All pages must be numbered and each page should contain the project acronym. The minimum font size allowed is 11. All margins should be at least 15 mm (not including footers or headers). Note that documents should not be password protected. All word counts are inclusive of all text, tables (excluding the budget tables), references, diagrams, and pictures.

#### Cover page with

- Project title, acronym, application number Outline Proposal
- Project Leader (=Principal Investigator 1): name, email address, institution, city, country
- Principal Investigators 2, 3, 4, ...: name, email address, institution, city, country listed in the same order as in the budget table.
- Associate Partner(s): institution, city, country, name contact person and email address
- Cooperation Partner(s), if applicable: name, email address, institution, city, country
- Keywords (5 max.)

#### Part A - Description of the Collaborative Research Project (CRP) (max. 5000 words)

- A1 Concept and objectives of the CRP
- A2 Research Context and Progress beyond the state-of-the art, including why European level collaboration is essential
- A3 Research design and methodology
- A4 Work plan, detailed timeline and milestones and deliverables



- A5 If applicable, data management and ethics sections
- A6 Short bibliography supporting the research case

#### Part B- Knowledge exchange and potential impact (max. 2500 words)

- B1 Relevance to the Call for Proposals
- B2 Expected relevance of the CRP outcomes and its potential value for users, both academic and non-academic. Description of the involvement and contributions of non-academic Associated Partners (if applicable)
- B3 Planned activities and measures to maximise knowledge exchange and transfer, and the dissemination and/or exploitation of trans-national CRP results to academic and non-academic users, and management of intellectual property

### <u>Part C</u> – Description of the CRP implementation and management (max. 2500 words, not counting the budget tables and their justification)

- C1 Description of the consortium (European added value of the collaboration, complementarity and expertise of PIs, balance, level of integration and collaboration)
- C2 Description of the CRP management structure and procedures, including how the consortium will ensure active collaborations across all partners from the beginning of the project
- C3 Allocation and justification of the resources to be committed (\* see pp. 6-7 below for further instructions):
  - C3.1 Detailed budget for each PI's activities per year: budget table A followed by the justification of the requested budget.
  - C3.2 Overall budget of the CRP for the whole duration of the project: budget table B followed by the justification of the distribution of costs across the PIs.
  - C3.3 If applicable, a description of additional funding should be given, complementing the HERA JRP PS requested funding (obligatory for some HERA JRP PS countries, please consult the National Eligibility Requirements). Additional funding includes own/organisational funds and contributions by Associate and/or Cooperation Partners.

#### **ANNEXES TO THE PROPOSAL**

The following information must be included as annexes:

**Annex 1:** A short CV, in English, of each Principal Investigator (PI) (each CV 2 pages max.). CVs should at least include the following headings:

- Personal information
- Current and previous position(s)
- (International) project (management) experience
- Up to 10 publications of the PI during the last 5 years most relevant for this proposal
- A list of all research grants received by the PI for the same or closely related topic within the past 5 years.
- **Annex 2:** An electronic copy of the signed and stamped statements from the host institutions of each PI, incl. the Project Leader (each 1 page max., preferably in English). The statement should include the following information:
- Title and acronym of the Collaborative Research Project.
- Full name of the applicant (PI or PL) involved in the CRP from the host institution concerned.
- Confirmation that the institution designated by the applicant shall be the institution where the proposed research is conducted.
- Confirmation of the employment/contractual status of the applicant: the title of the applicant's position as well as the start and end dates of the contract.
- Confirmation of approval of the requested budget of the activities of the PI/PL concerned or other requirements. See the FAQs and the National Eligibility Requirements for detailed information.
- Confirmation of any additional contribution to the CRP budget provided by the host institution, if applicable.
- Signatures and full names of the applicant and the competent authority of the host institution; date and place.
- **Annex 3:** An electronic copy of the signed and stamped letter of commitment on the part of the Associate Partner(s) (each 1 page max., in English). The letter should explain the AP's interest and role in the CRP and make explicit the nature of the AP's commitment to the CRP activities (financially and/or in kind).
- **Annex 4:** An electronic copy of the signed and stamped letter of commitment on the part of the Cooperation Partner(s) (each 1 page max., in English). The letter should confirm the CP's



interest and role in the CRP and make explicit the nature of the CP's commitment to the CRP activities (financially and/or in kind). It should include the following information:

- Title and acronym of the Collaborative Research Project.
- Full name of the Cooperation Partner involved in the CRP from the host institution concerned.
- Confirmation that the institution designated by the CP shall be the institution where the proposed research is conducted.
- Confirmation of any additional contribution to the CRP budget provided by the host institution, if applicable.
- Signatures and full names of the CP and the competent authority of the host institution; date and place.

Annexes 2-4 of the proposal are scanned copies of the original, <u>signed and stamped</u>, statements of PI institutes, Associate Partners and Cooperation Partners. These PDF scans must be appended at the end of the Full Proposal document. Unsigned documents will be discarded.

#### \* How to prepare part C3 (Allocation and justification of the resources to be committed)?

In part C3 of your proposal, you are asked to provide:

- **C3.1** The detailed budget for each PI per year: budget table A, followed by the justification of the requested budget.
- C3.2 The overall budget of the CRP for the whole duration of the project: budget table B, followed by the justification of the distribution of costs across the PIs;
- **C3.3** If applicable, a description of additional funding. This includes own/organisational funds and contributions by Associate and/or Cooperation Partners.

First, please download the Excel template "HERA JRP PS Full Proposal Budget Tables" from the HERA website. Fill out all tabs/worksheets (Project Information; Budget table A for each PI; Summary budget tables B) according to the instructions below. Paste the filled out budget tables A and B in sections C3.1 and C3.2 respectively. NB In addition to providing the budget information in part C3, the filled out template must be uploaded as one Excel file with the application.

In <u>section C3.1</u> of the proposal, include table A for each Principal Investigator followed by a detailed justification of the requested budget items. These will be used by the National Contacts to check the eligibility of national costings.

When filling out budget table A please indicate:

**PI number, name, institution, and country.** Please make sure that the PI numbers in the budget tables correspond to those in the application template.

In this table, you should specify the number and nature of research and administrative positions involved, as well as all individual budget items respective to equipment, travel and meeting costs, consumables, dissemination and knowledge exchange costs, other costs, and overheads (if applicable).

In table A, each individual position and the related costs, each individual budget item must be entered on a separate budget line. For instance, if two or three Ph.D. students are involved in one IP, each Ph.D. position and the related costs during each year should be entered on a separate budget line. Please add lines to the budget table, whenever necessary.

The following costs can be entered into budget table A (provided these are eligible according to the HERA JRP PS General and National Eligibility Requirements):

1. **Employment costs** should comprise personnel costs in accordance with national eligibility requirements. The employment costs should be detailed by person (e.g. please indicate the costs of each Senior Researcher, each Ph.D. student, etc.). Indicate for each position what percentage of Full Time Equivalent (FTE) will be allocated to the project each year both in the budget table (next to the title of each position) and also in the justification below.



### 2. Equipment is motorial used for the present Diseas specify the type and number

- 2. **Equipment** is material used for the project. Please specify the type and purpose for each major item. Small equipment/material should be listed under the category "Other costs". Please check the relevant National Eligibility Requirements for more information.
- 3. **Travel and meeting costs** also include subsistence costs as well as other costs necessary for the organisation of the project meetings (e.g. hiring venues, catering, etc.). Please specify the type of trips to be made in the framework of the CRP (e.g. conference, fieldwork, project meeting, etc.). Please also include costs here for participation at HERA events, see FAQs for more information.
- 4. Consumables are goods that must be replaced regularly (e.g. office supplies).
- 5. **Dissemination and Knowledge Exchange costs** may include both the costs for scientific publications (e.g. Open Access publications) and other dissemination materials (e.g. reports, leaflets, websites, etc.), events, exhibitions or other knowledge exchange activities targeting users and the general audience.
- 6. **Other costs** should list any other costs that cannot be categorized in the above sections. This would include external services or subcontracting costs.
- 7. Overheads are all eligible costs which cannot be identified as being directly allocated to the project but which can be justified in line with the National Eligibility Requirements. They usually comprise costs connected with infrastructures and the general operation of the organisation such as rental or depreciation of buildings, water/gas/electricity, maintenance, insurance, supplies and petty office equipment, communication and connection costs, postage, etc. and costs connected with horizontal services such as administrative and financial management, human resources, training, legal advice, documentation, etc.

Below the table of each PI in section C3.1, please include a detailed justification of the requested costs. Please explain the roles of each project member in the PI's team and their involvement in the project, the purpose of major equipment items, travel, etc. This section should demonstrate that the time budgeted for each project member is well justified and proportionate to their involvement in the project.

In <u>section C3.2</u> of the proposal, please provide a summary of the overall requested budget of the CRP for the whole duration of the project, using budget table B. When filling out budget table B, please remember to indicate the name and number of each PI in the respective budget columns. Using the figures from budget tables A, please enter the total costs for each PI for the whole duration of the CRP. In table B, costs in each category (employment costs, equipment, travel, etc.) should be only specified according to the type of budget item and not by individual research position or each specific budget item. The budget should indicate clearly the cumulative amounts that each PI and the CRP as a whole would spend on employment (all research and administrative positions), travel, equipment, publications or any other items for the whole duration of the CRP.

Below the table in section C3.2, please justify the distribution of costs across the PIs and explain how this relates to the planned outputs and the division of work across the PIs. The funding among the PIs can be distributed unevenly. The differences may be due to the different national eligibility rules (e.g. regarding the overheads) but also due to the division of work. Please summarise the rationale behind the overall financial plan and its relation to the consortium in this section.

In <u>section C3.3</u>, please describe the resources which would complement the HERA JRP PS contribution, if applicable (e.g. contributions from PI/PL host institutions, Associate Partners, Cooperation Partners). Please note that all additional contributions must be guaranteed either in the statement from a host institution of the respective PI/PL attached to the proposal as Annex 2 or in a signed letter from the APs/CPs attached to the proposal as Annex 3/4, as the case may be.



#### 4. Overview Full Proposal Phase

#### **Tentative timeline**

February 2018 Invitation for the submission of Full Proposals Deadline for the submission of Full Proposals 9 May 2018, 14:00 CEST May - August 2018 Peer review of Full Proposals Early September 2018 Rebuttal by applicants (one week) October 2018 Review Panel meeting: ranking of Full Proposals December 2018 HERA JRP PS Board final funding decisions December 2018 - May 2019 Contract negotiations May 2019 Collaborative Research Projects have started End of Collaborative Research Projects May 2022

#### Assessment procedure and criteria

Following the evaluation of the Outline Proposals, selected applicants will be invited to submit a Full Proposal (deadline 9 May 2018, 14:00 CEST).

Full Proposals will be assessed by at least three independent external expert referees who are selected from a pool of humanities scholars suggested by the participating funding organisations. Referee reports will be made available (anonymously) to the applicants for their information and rebuttal (optional). A list of all referees used for the international peer review will be published - unassigned to proposals - on the HERA website, once the selection process has been completed.

The HERA JRP PS Review Panel will rank all Full Proposals based on the referee reports and the applicants' responses to these. The Review Panel will create a ranking list consisting of the highest scoring Full Proposals and will subsequently make recommendations to the HERA JRP PS Board for the funding of these proposals.

Full Proposals will be evaluated according to the following selection criteria:

#### Research excellence (threshold 3/5)

- sound concept and quality of objectives
- progress beyond the state-of-the-art
- quality and effectiveness of the research methodology and associated workplan

#### Potential Impact (threshold 3/5)

- relevance to the call for proposals
- extent to which research outcomes are likely to be of value for non-academic stakeholders and user communities
- appropriateness of measures for the dissemination and/or exploitation of CRP results, and management of intellectual property

#### Quality and efficiency of the implementation and management (threshold 3/5)

- appropriateness of the management structure and procedures
- quality and relevant experience of the individual participants
- quality of the consortium as a whole (including complementarity, balance, level of integration and collaboration)



appropriate allocation and justification of the resources to be committed (budget, staff, equipment)

The HERA JRP PS Board will take all lawful steps to ensure confidentiality of information and documents obtained during the evaluation and selection procedures. The evaluation will be followed by an independent expert observer, who will report on the process.

#### 5. HERA JRP PS funding model and budget

The Programme is co-funded by humanities funding agencies in 24 participating countries and the European Commission, with a total budget amounting up to 20 M€. The HERA JRP PS funding model ensures that the highest ranked proposals are funded.

Please read carefully the national eligibility requirements attached to this Call which specify the eligible costs that can be applied for.

#### 6. HERA JRP structure

The overall responsibility for the governance of the HERA JRP PS lies with the *HERA JRP PS Board*, whose membership is formed by one representative from each participating funding organisation. The HERA JRP PS Board will monitor the project selection process and the progress of the research and knowledge transfer activities of the funded projects.

Proposal assessment is the responsibility of the international, independent *Review Panel*. The final funding decisions are made by the HERA JRP PS Board on the basis of the recommendations of the Review Panel. The members of the Review Panel are leading humanities scholars, appointed by the HERA JRP PS Board following suggestions from participating funding organisations. The composition of the Review Panel will be available on the HERA website for information after the Call for Proposals is published. After the award of the grants, the HERA JRP PS Review Panel and the HERA JRP PS Board will also monitor the overall research progress of the HERA JRP PS and the funded projects.

The Netherlands Organisation for Scientific Research (NWO) will act as the *Handling Agency* for the HERA JRP PS call management and selection process. The Irish Research Council will act as *Handling Agency* for the running phase of the HERA JRP PS and be responsible for the financial management of the HERA JRP PS projects and for the management of the HERA JRP PS programme as a whole.

#### 7. Open Access Publishing / Open Research Data

All HERA JRP PS projects will be required to follow the guidelines on Open Access for publications and data adopted for the Horizon 2020 programme:

http://ec.europa.eu/research/participants/data/ref/h2020/grants manual/hi/oa pilot/h2020-hi-oa-pilot-guide en.pdf.

#### 8. Research Integrity

When preparing your proposal and carrying out your research project, the HERA JRP PS Board expects applicants to adhere to rules of good research practice as outlined in *The European Code of Conduct for Research Integrity* (http://www.allea.org/wp-content/uploads/2017/03/ALLEA-European-Code-of-Conduct-for-Research-Integrity-2017-1.pdf). The principles of integrity include, among others, fairness in providing references, giving credit, honesty in communication and impartiality and independence.



#### 9. Intellectual Property Rights (IPR)

The arrangements for the handling of IPR must be in place within projects. Successful applicants will be required to submit a *consortium agreement* with IPR and other issues clarified. It is expected that the results obtained by the CRPs supported under this programme will be placed in the public domain. HERA JRP conditions with respect to IPR are to be considered equal to those for all collaborative projects funded by the European Commission under Horizon 2020 (see <a href="https://www.iprhelpdesk.eu/">https://www.iprhelpdesk.eu/</a>). Successful applicants will be advised to familiarize themselves thoroughly with these guidelines, and to include them, in a modified or specified form, into their consortium agreements with their partners. A model consortium agreement (based on the <a href="DESCA 2020 Model Consortium Agreement">DESCA 2020 Model Consortium Agreement</a>) is available at the HERA website.



# Annex A: Public Spaces: Culture and Integration in Europe: Theme and Scope

We are seeking innovative humanities-led research proposals addressing the theme of Public Spaces: Culture and Integration in Europe. We encourage applicants to consider not only the context and scope below, but also the European added value of their proposed collaboration. HERA funding is very competitive and it is vital that it is clearly articulated how the research not only addresses this theme but that it demonstrates how the European collaboration results in more than the sum of its individual national parts.

Through time, **public spaces** have acted as open domains of human encounters and exchanges, often negotiated or contested. Public spaces are closely connected with the expression and exchange of values and beliefs and with the formation and appropriation of institutions, and thus public spaces lend themselves to cultural analysis of these processes and structures.

The aim of the HERA "Public spaces" programme will be to deepen the theoretical and empirical cultural understanding of public spaces in a European context. The programme has been designed to facilitate a broad range of cultural approaches to conceptualising **public space**, its structural and processual formations, and its possible outcomes in terms of integration, exclusion, disintegration, fragmentation, hybridization, amalgamation or transmission.

In relationship to the present call, public spaces are understood to encompass both material and symbolic dimensions, both the spheres of institutions and practices. These dimensions can be studied in a historical and contemporary context; across domains of expression, circulation and reception; at local, national and transnational level; and across their physical and virtual manifestations - and interlacings of all of these. Studies may include landscapes, cityscapes, institutions (including museums, libraries, galleries, cinemas) and material culture. They may also include processes and practices, such as artistic production, performance, various forms of a public discourse, dissent and protest in both physical and virtual spaces defined by media and communication. This inclusive approach will facilitate wide-ranging, innovative and interdisciplinary responses to the JRP.

The call imagines the key terms and concepts of the topic in the following way. While cultural analysis is key to understanding the nature and role of public space itself, there is also an urgent need to understand how public spaces impact structures and processes of integration as possible results of such an interplay. To study this interplay is a particularly timely task since public spaces, whether material, symbolic or virtual are major scenes for a range of responses to the challenges of migration, globalization and digitization. In this process, the idea as well as the phenomenon of public space has undergone important changes, as it is a lens to examine and to determine the process of cultural mobility, in its various forms, (e.g. differing values, traditions, ethnicities and gender identities) within overarching frameworks such as citizenship, community, cultural identity, nationality and globalisation, that have been a challenge across the world and through historical time. On the one hand, the European project is seen as a way of overcoming divisive conflicts and promoting tolerance and respect, and as a source of creative innovation. On the other hand, it is also argued that in certain circumstances the effects of integration may have negative effects of disempowerment and erasure of local or pre-existing identities. The concept and practice of integration (or the lack of it) is related strongly to contemporary societal challenges involving migration, inequality, disenfranchisement, intolerance, xenophobia, extremism, and ethnic conflict. Within Europe, the concept of integration has clear relevance to the debates over the future of the European Union, and the relations among northern, western, eastern, southern and central European regions. Particular discourses around integration tend to be highly politicised and are often contested.

In today's world, the contexts of public spaces are very often marked by processes of migration; and they have been further intensified by globalisation and digitalisation. The societal and cultural effects of such developments range from positive dynamics of creativity, renewal and innovation to more challenging experiences of tension and conflict. Integration is sometimes seen as a condition for the successful management of differences and divergences within larger cultural, political, religious or ideological



frameworks (including frameworks like national or European identity, liberal democracy, or particular religions and value-systems). Yet processes of integration can also be a form of constraint and limitation, and carry complex political significance. In order to better understand these dynamics, research is needed into public spaces and the role of cultural activity in relation to integration, and into the relationship of cultural processes to varieties of public space where processes of integration / disintegration / exclusion are articulated, debated, negotiated or rejected.

We are inviting proposals that consider these challenges explicitly from a humanities' perspective while recognising that interfaces between the humanities and other disciplines may also be relevant to this research topic area.

#### Scope of the transnational call

CRPs under this topic should explore the dynamics through which public spaces shape, and are shaped by, cultural activity, and how various forms of dis/integration can be understood in relation to such spaces. This will involve investigating a variety of perspectives, for example:

- the concepts of and approaches to public space(s);
- historical patterns and forms of public space(s), and the relevance of such patterns;
- the formation of public space(s) through institutional and non-institutional activities;
- the roles played by culture, art and creativity in shaping public spaces;
- the impact of migration on culture and the creation and use of public spaces;
- the role of public spaces in both enabling or challenging models of integration.

This HERA Joint Research Programme will support innovative, **humanities-led** research into the relationships between public space(s), culture and integration. Whilst the programme does not preclude comparison or investigation into other regions, every proposal must have a strong European dimension (i.e. investigating a public space of relevance to Europe as a whole), or should be analysing comparative European aspects at the level of specific countries, cities, communities etc.

#### **Knowledge exchange**

Knowledge exchange (KE) is a **two way** process which brings together academic staff, users of research and wider groups and communities to exchange ideas, evidence and expertise. It is a process of working collaboratively, and is most effective when these relationships are established at the very start of the proposal.

Knowledge exchange activities are a crucial dimension to any proposed research project. In addition to the networking that takes place among academic partners and broader dissemination activities aimed at wider academic audiences, projects are also expected to develop links with stakeholders outside academy in order to maximise the societal benefit of the research. For example, collaborations may include the public sector, voluntary, community and charitable organisations, policy makers, the creative, cultural and heritage sectors, broadcasters, museums, galleries, business, industry, and practitioners (e.g. in the creative and performing arts). Collaborations should be meaningful for all partners involved and enable joint learning throughout the duration of the project and beyond. Public engagement activities may also be included to promote a wide understanding of the nature and impact of "public spaces: culture and integration in Europe".

It is recognised that you may not know the impact of your research at proposal stage. However, a knowledge exchange perspective should be included in the application, and we encourage applicants to explore, from the outset and throughout the life of your project and beyond, who could potentially benefit from your research and what you can do to help make this happen. Proposals should therefore include concrete plans for collaboration and knowledge exchange, demonstrating potential audiences, how these activities will add significant value to the research, and how your knowledge exchange activities will be monitored and evaluated throughout and beyond the project. Active inclusion of non-academic partners from the preparation phase of the project is encouraged. A short guide to Knowledge Exchange is available on the HERA website.



#### **Annex B: HERA JRP PS Contact Points**

# <u>Handling Agency for the HERA Joint Research Programme "Public Spaces: Culture and Integration in Europe"</u>

(as regards general eligibility, administrative and procedural questions)

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NWO	Netherlands Organisation for Scientific Research (NWO)
	Social Sciences and Humanities
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#### **National Contact Points**

Country, Funding Agency	Contact details (as regards national eligibility questions) For administrative and procedural questions, contact NWO.
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