



HERA

Humanities in the European Research Area

CALL FOR PROPOSALS HERA Joint Research Programme (HERA JRP) “Uses of the Past”

The Humanities in the European Research Area (HERA) Network has launched a new Joint Research Programme under the theme “Uses of the Past” (HERA JRP UP). We wish to invite transnational consortia to submit proposals for humanities-led research into the various ways in which our understandings and representations of the past have had and do have significant impact on our present and future. Proposed research may draw upon insights and methodologies from a wide spectrum of arts and humanities disciplines. Although humanities-driven, consortia are explicitly invited to involve contributions from other research domains where appropriate.

By launching the HERA JRP UP, the national humanities funders involved want to create opportunities for collaborative, transnational humanities-led research that will result in new academic insights relevant to major social, cultural, and political challenges facing Europe and the world. The beneficiaries of this Call are eligible scholars located in the HERA JRP UP countries¹: **Austria, Belgium, Czech Republic, Croatia, Denmark, Estonia, Finland, Germany, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Netherlands, Norway, Poland, Portugal, Slovenia, Spain, Sweden, Switzerland and United Kingdom**, irrespective of their nationality. The HERA JRP UP is co-funded by the humanities funding agencies in 23 participating countries and the European Commission, with the total budget amounting up to 21 M€. The Humanities Department of the Netherlands Organisation for Scientific Research (NWO) will act as the Handling Agency managing the call and selection process on behalf of the HERA funding partners.

Collaborative Research Projects (CRP) proposals can be submitted by balanced consortia involving four or more Principal Investigators based in **four** or more different HERA JRP UP countries. Each CRP proposal can apply for research funding up to a maximum amount of 1.2M€ (across all partners) and can be up to 36 months duration. The HERA JRP UP will also support a number of joint knowledge exchange activities across the funded CRPs. Proposals will be selected in a two-step process involving an independent international Review Panel.

Outline Proposals must be submitted electronically via the HERA website by **Thursday 9 April 2015, 19:00 CET (Central European Time)/18:00 GMT (Greenwich Mean Time)**. In July 2015, successful applicants will be invited to submit Full Proposals with the deadline in October 2015. Funded projects must start by June 2016 and end no later than June 2019.

Before applying, please read carefully the theme specification and guidelines for applicants below. If you have any questions regarding the application and selection process, please contact the HERA JRP Handling Agency. If you have any queries concerning national eligibility requirements, please contact your national funding agency. Contact details are provided below (Part 2, Section 10).

PART 1: AIMS AND SCOPE

HERA Network Objectives

Humanities in the European Research Area (HERA) is a partnership of a growing number of national humanities funding agencies across Europe. Since its establishment in 2004, HERA has been committed to a vision of European researchers working across countries and across disciplines to address large-scale societal, cultural, historical and philosophical issues in ways not normally possible within conventional national programmes or at the level of the individual researcher. The benefits of this are becoming clearer as we move forward. HERA projects funded under its first Joint Research Programme (JRP) from 2009 to 2013 have not only produced important, innovative research; they have also built new networks for future projects, have trained a cohort of new researchers, and have promoted knowledge exchange between the humanities and the wider domains of the arts, industry, cultural institutions, education and media. A second HERA Joint Research Programme, under the title "Cultural Encounters", has been underway since 2013. Our new "Uses of the Past" JRP will run from 2016 to 2019. More information about HERA and about the projects that were funded in previous HERA JRPs can be found on the HERA website www.heranet.info.

Uses of the Past: Theme and Scope

The 'Uses of the Past' theme

The HERA JRP UP recognises that in order to understand the pressing societal questions of identity, integration, political legitimacy, creativity and cultural dynamics across Europe we need new, more complex understandings of how the individuals and societies use and reflect upon the past, taking account of how cultural ideas, traditions and practices are constructed, transferred and disseminated among different agents and regions. Such knowledge will explore and systematize exactly what it means to be a "reflective society", and will enable us to better understand processes of historical development, innovation, and social change that are fundamental to the human condition. Ultimately such awareness of the past and its profound effects upon present decision-making and cultural practice can assist in building effective policies to encourage societal resilience, creative thinking, responsible citizenship and intelligent responsiveness to new challenges.

The HERA JRP UP will shed a new light on how (and by whom) European, non-European or global pasts are actively and instrumentally used, and to what ends (including their relation to essential issues such as solidarity, trust and imagined futures) always taking into account an explicit connection to past or present debates or transformations in Europe. It will examine which historically-informed orientations and actions in society are promoted, mobilised and legitimised, and which mechanisms and motives lie behind the work of historical understanding – in arts, film, literature, drama, media, social media, landscapes, public spaces, languages, philosophy and religions as well as in research, education, politics, economics and journalism. This knowledge will enable us to see more clearly the complex ways in which our cultural diversity has been formed, and the dynamics by which it may be shaped and directed in the future.

Citizens and nations today do not exist in isolation: decisions on a personal, organisational and governmental level are all influenced by strongly-felt values, rooted in our varied pasts. This is most dramatically demonstrated in major transformation periods, e.g. conflict situations where fractures can emerge based on long-standing tensions or conflicting views of the past. Understanding such processes is vital for understanding current situations and possible future scenarios, barriers and opportunities. Yet at other times, the knowledge and representation of the past has been an enriching source of immense creative energy and innovation. Achieving a better understanding of the multiple and contradictory ways in which the past has been used, both contentiously and constructively, is the ambition of this JRP.

Focus areas

All project proposals should anchor their research question in major transformation processes. The following questions may assist proposers by suggesting possible avenues of inquiry:

1. Changing uses of (different) pasts?
 - How is historical knowledge created, defined and validated? What constitutes “misuse” of the past? What are the dynamics and consequences of competing narratives of the past?
2. Uses of the past for identity construction and institutional embedding of norms and values.
 - How does the use of the past construct identities at individual and collective levels? How are norms, values, institutions and behaviours formed by the conscious and unconscious uses of the past?
3. Uses of the past in media, material culture and public space.
 - What are the material forms of historical knowledge? How are the material forms and media of historical knowledge related to their use? What is the meaning and (economic) use of heritage? How does the past materialize in objects (e.g. art or commodities) and spaces?
4. Uses of the past: transnational/international/national and regional dimensions, including globalization.
 - What are the relations between European and global memories and histories? What are the relations between European and regional memories and histories? How do uses of the past have impact on the role of Europe as a (global) actor? What are the transnational or transregional dynamics of historical memory?
5. Uses of the past: impact on solving current problems, decision making and future policies.
 - What is the role of knowledge of the past within a “knowledge society”? Can new knowledge of the past be used for building trust and solidarity in an integrated Europe? In what ways is knowledge of the past a source for contemporary creativity and innovation? In what ways may this knowledge illuminate the nature and effects of these processes (solving current problems, decision making and future policies)?

Knowledge exchange and transfer

Knowledge exchange and transfer activities are a crucial dimension to any proposed research project. In addition to the networking that takes place among academic partners and broader dissemination activities aimed at wider academic audiences, projects are also expected to develop links with stakeholders outside the academy in order to maximise the societal benefit of the research. For example, collaborations may include the creative, cultural and heritage sectors, broadcasters, museums, galleries, business, industry, the public sector, voluntary, community and charitable organisations, policy makers and practitioners (e.g. in the creative and performing arts). Collaborations should be meaningful for all partners involved and enable joint learning throughout the duration of the project and beyond. Public engagement activities may also be included, where appropriate, to promote a wide understanding of the nature and impact of “uses of the past.”

Proposals should therefore include concrete plans for collaboration and knowledge exchange, demonstrating how these activities will add significant value to the research. Active inclusion of non-academic partners from the preparation phase of the project is encouraged.

PART 2: GUIDELINES FOR APPLICANTS

Outline Proposals must be submitted via the HERA JRP UP online submission system before the deadline of **Thursday 9 April 2015, 19:00 Central European Time/18:00 Greenwich Mean Time.**

The link to the online submission system and the template for Outline Proposals are available on the HERA website at www.heranet.info.

Other relevant documentation available on the website includes **National Eligibility Requirements**, **HERA JRP Glossary** and **Frequently Asked Questions (FAQs)**.

1. Project Structure and roles of project partners

- Each Collaborative Research Project (CRP) must comprise at least **four eligible Principal Investigators (PI)**, each based in an eligible university or research institute in a different HERA JRP UP country. The CRP is led by one of the PIs, the **Project Leader**. Applicants are strongly encouraged to take equality and diversity dimension issues into consideration.
- **The Project Leader (PL)** is responsible for carrying out and managing the CRP, in addition to his/her project related research. The PL is a senior researcher with a solid experience of managing collaborative research projects. S/he will be the contact point for the HERA JRP Handling Agency throughout the application and running phase and is responsible for communication with the other partners of his/her CRP. The PL is also responsible for the administrative and financial management of the complete CRP, i.e. if a CRP is funded, the CRP budget will be transferred to the host institution of the PL, which in turn will be responsible for distributing funding to the CRP partners. Finally, the PL is responsible for the intellectual agenda and coherence of the project as well as all the reporting duties.
- **Each Principal Investigator (PI)** is responsible for leading research activities at his/her institution. A Ph.D. is prerequisite for application as PI, however, in some countries applicants with equivalent research experience are also eligible to apply. Other researchers (senior researchers, post-doctoral researchers, Ph.D. students) can be part of the PI's project team and should be referred to as Project Members (PMs). Please consult National Eligibility Requirements for full information.
- **Non-academic Associated Partners (AP)** are stakeholders, for example in the cultural, heritage or educational sectors, media, or creative industries, who may provide significant added value and valuable insights to the CRP from the user's perspective. They can be included in the proposal in an advisory and collaborative capacity to help explore the knowledge transfer/exchange potential of the proposed research.

In order to be evaluated, proposals must be:

- 1) **submitted via the online application system before the submission deadline;**
- 2) **submitted by an eligible research consortium.** Note that if one of the research partners in a CRP consortium is not eligible then the whole consortium is not eligible;
- 3) **complete and follow the prescribed format.**

2. Eligibility

Eligibility of applicants

- Only researchers located in the following HERA countries are eligible to apply, irrespective of their nationality: **Austria, Belgium, Croatia, Czech Republic, Denmark, Estonia, Finland, Germany, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Netherlands, Norway, Poland, Portugal, Slovenia, Spain, Sweden, Switzerland and United Kingdom.**
- Each Collaborative Research Project (CRP) must comprise at least **four Principal Investigators, each** based in an eligible university or a research institute **in a different HERA JRP UP country.**
- Each participating researcher (e.g. senior, post-doc, Ph.D.) must be based at an eligible institution and be considered **eligible** for funding by the funding organisation of the HERA JRP UP country where he/she works. A Ph.D. is prerequisite for application as PI, however, in some countries applicants with equivalent research experience are also eligible to apply.
- **Please read carefully the National Eligibility Requirements available from the HERA website. If you have any queries, contact your respective national funding organisations in order to verify eligibility with regard to qualifications of applicants.**
- **A Principal Investigator (PI) can participate as a PI or Project Leader (PL) in one HERA JRP UP proposal only.**
- **The Project Leader (PL) will be one of the Principal Investigators (PI) in the CRP and will act as coordinating partner.**
- Each CRP will be coordinated by a **Project Leader (PL)** who is responsible for the scientific, administrative and financial coordination of the CRP.
- The consortium partners in the participating countries in the CRP will each assign one **Principal Investigator (PI)** per institute to coordinate the administrative and financial responsibilities of the CRP at the institutional level.
- The team of each **Principal Investigator (PIs)** may include a number of other senior and/or junior researchers (Project Members) carrying out the actual research planned.
- Associations with other researchers from non-HERA JRP UP countries can be mentioned in the description of the CRP (e.g. as part of an advisory group), however no time or personnel costs can be budgeted for them, no associated documentation (e.g. CV, letter) can be submitted and their participation cannot be integral to the proposal.

Eligible costs

- The total budget **requested** from the HERA JRP **must not exceed 1,2 M€ across all participating partners.**
- CRPs must not exceed 36 months in duration. CRPs must start by June 2016 and finish by June 2019 at the latest.
- The estimated budget must be given in **Euros only** and be tabulated according to the application template provided.
- **All costs must be eligible according to national eligibility rules.** Eligible costs will generally include direct costs such as employment costs (PL, PIs, and other Project Members, e.g. Ph.D. students, researchers, administrative personnel, etc.), equipment, travel and meeting costs, consumables, dissemination and knowledge transfer costs as well as the overheads. Please read the National Eligibility Requirements to verify the eligibility of specific budget items according to

the rules of your national funding organisation. If you have any queries, contact the contact person in your respective national funding organisation.

- Projects will also be expected to engage in knowledge exchange activities regarding the outcomes of the CRPs. Costs for these activities must be included in the proposal in addition to the costs for research (subject to national eligibility rules). Please also see the section on knowledge exchange and transfer on page 3.
- **Non-Academic Associated Partners (AP)** associated with the project cannot be funded from the CRP for their time; only their travel and subsistence costs for attending CRP-related events as well as minor subcontracting (depending on National Eligibility Requirements) can be reimbursed.
- The costs for the participation at the HERA JRP “Uses of the Past” Launch, Mid Term and Final Conferences for PL, all PIs and APs of a CRP must be included in your travel cost estimates. The conferences will each be a one and a half days event taking place in one of the HERA JRP UP countries. On average for one to two days events, costs to be budgeted per person are € 850, which means that when there are four PIs and 2 APs in a consortium on average € 15,300 must be budgeted for participating in HERA JRP UP meetings.
- Recipients of HERA JRP UP funding are strongly encouraged to use Open Access publishing wherever possible (see section 6. Open Access). If publishing under “author pays” regimes is foreseen at the application stage, the related costs should be included in the budget. Costs must be plausible and justified/substantiated. As a rule, the costs per peer-reviewed journal article should not exceed 2,500 EUR. For proceedings, collections and monographs, the total budget can be up to 15,000 EUR per project.

3. Application format

An Outline Proposal must be written in **English** and submitted via the online submission system. The link to the online submission system is available on the HERA website at www.heranet.info.

The following information will be entered directly into an online form:

- **Personal data of the PL, PIs and non-academic APs:** Title, surname, first name, gender, full affiliation (department/centre, institution), complete address, telephone number, e-mail address.
- **Outline proposal details:**
 - **Title** and **acronym** (min. 3 characters)
 - **Keywords** (max. 8 separated by a semicolon “;”)
 - An **abstract** of no more than 1500 characters without spaces should be typed/pasted directly into the online application form. The abstract provides a summary of the project and should include the following information:
 - Relevance of the research topic to the theme “Uses of the Past”
 - Objectives, the potential outcome and impact of the CRP.
- **Duration** and total **requested** budget per CRP and per partner country. (Maximum requested budget for the total CRP is 1,2 M€; maximum requested budget per country – if any – can be found in the national eligibility requirements.)
- **Subsequently, the outline proposal description itself must be uploaded.** The outline proposal itself should consist of ONE document in PDF format to be uploaded via the online submission system. To compose the Outline Proposal the [application template available on the HERA website](#) must be filled in completely.

The Outline Proposal description should contain the following information:

- i. A short description of the CRP (max. 2000 words) that outlines:
 - What research question(s) does the CRP seek to answer?
 - In what way is the project original and/or innovative?
 - What are the objectives of the CRP?
 - By what methods will the research question(s) be tackled?
 - How are the skills of the partners complementary?
 - What is the added value in tackling your research question(s) from a European trans-national perspective?
 - How will partners work together? What management and coordination structures are proposed?
 - What is the significance of the research question(s)? How will these contribute to the "Uses of the Past" theme?
 - What is the potential impact of the proposed research to both academic disciplines, and relevant stakeholders and user communities?
 - How will the CRP engage in knowledge exchange or active dissemination engaging user communities and audiences outside academia?
- ii. CVs of PL and all PIs (max. ONE page each)
 - Please include only the CVs of the PL and PIs. The expertise and expected contribution of other Project Members should be mentioned in the description of the CRP (section i. How are the skills of the partners complementary?).
 - The CV of the PL should include the information on his/her experience leading national or international collaboration research projects.
 - All CVs should include up to FIVE most relevant publications.
- iii. Estimated budget
 - The total budget requested from the HERA JRP must not exceed 1,2 M€.
 - The estimated budget must be given in Euros only and be tabulated in the table in the application template available from the HERA website.
 - **All costs must be eligible according to the general HERA rules explained in section 2. In addition, all costs must satisfy the relevant national requirements.** Please read the National Eligibility Requirements available from the HERA website. If you have any queries, contact your respective national funding organisations in order to verify the eligibility of budget items.
- iv. Letters of commitment on the part of non-academic APs (if applicable)
 - If you have non-academic partners who are part of your CRP, you are required to append electronic copies of the signed and stamped letters of commitment on their behalf. The letters should explain their interest and role in the CRP and make explicit the way in which they are committed to the CRP activities (financially and/or in kind).

4. HERA JRP UP funding model

The Programme is co-funded by humanities funding agencies in 23 participating countries and the European Commission, with a total budget amounting up to 21 M€. The HERA JRP UP funding model ensures that the highest ranked proposals are funded.

5. Assessment procedure and criteria

Outline Proposal phase

Proposal evaluation will be carried out by the international, independent HERA JRP UP Review Panel (RP). The members of the RP are leading humanities scholars, appointed by the HERA JRP UP Board. The composition of the HERA JRP UP Review Panel will be available on the HERA website after the Call for Proposals is published.

Applications will be evaluated according to a set of criteria in a two-stage procedure. In the Outline Proposal phase, the Review Panel will select proposals by applying the following **criteria**:

- Relevance to the theme "Uses of the Past".
- Potential for research excellence.
- Novelty and originality of research.
- Potential impact of the proposed research beyond academia.
- Qualification of the applicants and coordination structures proposed.
- European added value: this refers to the project's potential to contribute to the development of Europe's research capacity and profile, not to the geographic areas to be investigated as part of the project's research activity.

Based on the input of the international Review Panel, the HERA JRP UP Board will choose the consortia which will be invited to submit a full proposal.

Full Proposal phase

Following the evaluation of the Outline Proposals, selected applicants will be invited to submit Full Proposals with the deadline in October 2015.

Full Proposals will be assessed by at least three independent external expert referees who are selected from a pool of humanities scholars suggested by the participating funding organisations. Referee reports will be made available (anonymously) to the applicants for their information and rebuttal (optional). A list of all referees used for the international peer review will be published - unassigned to proposals - on the HERA website, once the selection process has been completed.

The HERA JRP UP Review Panel will rank all Full Proposals based on the referee reports and the applicant's responses to these. The Review Panel will create a ranking list consisting of the best Full Proposals and will subsequently make recommendations to the HERA JRP Board for the funding of these proposals.

Full Proposals will be evaluated according to the following selection **criteria**:

Research excellence

- sound concept and quality of objectives
- progress beyond the state-of-the-art
- quality and effectiveness of the research methodology and associated workplan

Potential Impact

- relevance to the call for proposals
- extent to which research outcomes are likely to be of value for non-academic stakeholders and user communities
- appropriateness of measures for the dissemination and/or exploitation of CRP results, and management of intellectual property

Quality and efficiency of the implementation and management

- appropriateness of the management structure and procedures
- quality and relevant experience of the individual participants

- quality of the consortium as a whole (including complementarity, balance, level of integration and collaboration)
- appropriate allocation and justification of the resources to be committed (budget, staff, equipment)

Detailed requirements and instructions on how to complete the Full Proposal application forms will be made available once Full Proposals are invited.

6. Open Access Publishing / Open Research Data

All HERA JRP UP projects will be required to follow the guidelines on Open Access for publications and data adopted for the Horizon 2020 programme:

http://ec.europa.eu/research/participants/data/ref/h2020/grants_manual/hi/oa_pilot/h2020-hi-oa-pilot-guide_en.pdf.

7. Research Integrity

When preparing your proposal and carrying out your research project, the HERA JRP UP Board expects applicants to adhere to rules of good research practice as outlined in *The European Code of Conduct for Research Integrity* (<http://www.esf.org/coordinating-research/mo-fora/research-integrity.html>). The principles of integrity include, among others, fairness in providing references, giving credit, honesty in communication and impartiality and independence.

8. Timeline

29 January 2015	Launch of the Call for Outline Proposals
9 April 2015	Deadline for the submission of Outline Proposals
Mid June 2015	Review Panel meeting: selection of Outline Proposals
July 2015	Invitation for the submission of Full Proposals
October 2015	Deadline for the submission of Full Proposals
Oct - Nov 2015	Peer-review of Full Proposals
Early Dec 2015	Rebuttal by applicants (one week)
January 2016	Review Panel meeting: ranking of Full Proposals
February 2016	HERA JRP UP Board final funding decisions
Feb - Jun 2016	Contract negotiations
June 2016	Start of Collaborative Research Projects
June 2019	End of Collaborative Research Projects

9. HERA JRP structure

The overall responsibility for the governance of the HERA JRP UP lies with the *HERA JRP UP Board*, whose membership is formed by one representative from each participating funding organisation. The HERA JRP UP Board will monitor the project selection process and the progress of the research and knowledge transfer activities of the funded projects.

Proposal assessment is the responsibility of the international, independent *Review Panel*. The final funding decisions are made by the HERA JRP UP Board on the basis of the recommendations of the Review Panel. The members of the Review Panel are leading humanities scholars, appointed by the HERA JRP UP Board following suggestions from participating funding organisations. The composition of the Review Panel will be available on the HERA website for information after the Call for Proposals is published. After the award of the grants, the Review Panel will also monitor the overall research progress of the HERA JRP UP and the funded projects.

The Humanities Department of the Netherlands Organisation for Scientific Research (NWO) will act as the *Handling Agency* for the HERA JRP UP call management and selection process. The Irish Research Council will act as *Handling Agency* for the running phase of the HERA JRP UP and be responsible for the financial management of the HERA JRP UP projects and for the management of the HERA JRP UP programme as a whole.

10. National Contact Points

Handling Agency for the HERA Joint Research Programme "Uses of the Past"

(as regards general eligibility, administrative and procedural questions)

Netherlands Organisation for Scientific Research (NWO), Humanities Department	Dr. Sander Steeman Laan van Nieuw Oost Indië 300 P.O. Box 93425 2509 AK THE HAGUE, THE NETHERLANDS E-mail address: hera@nwo.nl
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National Contact Points

Country, Funding Agency	Contact details (as regards national eligibility questions) For administrative and procedural question, contact NWO.
Austria FWF	Fonds zur Förderung der Wissenschaftlichen Forschung Geistes- und Sozialwissenschaften Dr. Monika Maruska Sensengasse 1, 1090 WIEN, AUSTRIA E-mail address: monika.maruska@fwf.ac.at



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Belgium FWO	Fonds voor Wetenschappelijk Onderzoek - Vlaanderen Dr. Olivier Boehme Egmontstraat 5, 1000 BRUSSELS, BELGIUM E-mail address: Olivier.Boehme@fwo.be
FNRS	Fonds de la Recherche Scientifique – FNRS Dr. Monique Septon Rue d'Egmont 5, 1000 BRUSSELS, BELGIUM E-mail address : monique.septon@frs-fnrs.be
Croatia HAZU	The Croatian Academy of Arts and Sciences. Mrs Jelena Đukić Zrinski trg 11, 10000 ZAGREB, CROATIA E-mail address: jdukic@hazu.hr
Czech Republic CAS	Academy of Sciences Czech Republic (CAS) Dr. Adolf Filacek Jilska 1, 110 00 PRAHA 1, CZECH REPUBLIC E-mail: address: filacek@kav.cas.cz
Denmark DASTI	The Danish Agency for Science, Technology and Innovation The Danish Council for Independent Research Humanities Mrs. Stine Laursen Bredgade 40, DK-1260 COPENHAGEN, DENMARK E-mail address: skla@fi.dk
Estonia ETAg	Estonian Research Council Mrs. Margit Suuroja Lauteri 5, 10114 TALLINN, ESTONIA E-mail address: Margit.Suuroja@etagee.ee
Finland AKA	Academy of Finland Research Council for Culture and Society Mr. Otto Auranen Hakaniemenranta 6, POB 131, FI-00531 HELSINKI E-mail address: Otto.Auranen@aka.fi
Germany PT-DLR	Project Management Agency, Part of the German Aerospace Center Environment, Culture, Sustainability: Humanities and Social Sciences National Contact Point Socio-economic Sciences and Humanities (SSH NCP) Mrs. Christa Engel Dr. Christopher Wertz Heinrich-Konen-Str. 1, D-53227 BONN, GERMANY E-mail address: HERA-UP@dlr.de
Iceland RANNIS	Icelandic Centre for Research Ms. Hulda Proppé Laugavegi 13, IS-101 REYKJAVIK, ICELAND E-mail: Hulda.Proppe@Rannis.is



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Italy CNR	Consiglio Nazionale delle Ricerche (CNR) Dipartimento Scienze umane e sociali, Patrimonio culturale Mr. Maurizio Gentilini p.le Aldo Moro 7 – 00185 ROMA, ITALY E-Mail address: maurizio.gentilini@cnr.it
Ireland IRC	Irish Research Council Ms. Angela Ennis Shelbourne Road, Ballsbridge, DUBLIN 4, IRELAND E-mail address: aennis@research.ie
Latvia VIAA	State Education Development Agency Dr. Uldis Berkis Valnu str. 1 LV-1050 Riga, Latvia E-mail address: Uldis.Berkis@viaa.gov.lv
Lithuania LMT	The Research Council of Lithuania Ms. Kornelija Janaviciute Gedimino pr. 3, 01103 VILNIUS, LITHUANIA E-mail address: kornelija.janaviciute@lmt.lt
Luxembourg FNR	Fonds National de la Recherche, Luxembourg Mrs. Susanne Rick 6, rue Antoine de Saint-Exupéry B.P. 1777, L-1017 LUXEMBOURG, LUXEMBOURG E-mail address: susanne.rick@fnr.lu
Netherlands NWO	Netherlands Organization for Scientific Research Dr. Sander Steeman P.O. Box 93425, 2509 AK THE HAGUE, THE NETHERLANDS E-mail address: hera@nwo.nl
Norway RCN	The Research Council of Norway Department for the Humanities, Division of Science Mrs Solbjørg Rauset Drammensveien 288, P.O. Box 564 NO-1327 LYSAKER, NORWAY E-mail address: sol@forskningsradet.no
Poland NCN	Narodowe Centrum Nauki Ms. Malwina Gębalska ul. Królewska 57 30-081 KRAKOW, POLAND E-mail: Malwina.Gebalska@ncn.gov.pl
Portugal FCT	Fundação para a Ciência e a Tecnologia Ms. Luisa Igreja Av. D. Carlos I, 126, 1249-074 LISBOA, PORTUGAL E-mail address: Luisa.Igreja@fct.pt



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Slovenia MIZS	Ministrstvo za izobraževanje, znanost in šport Mr Davor Kozmus Masarykova 16, 1000 LJUBLJANA, SLOVENIA E-mail address: davor.kozmus@gov.si
Spain MINECO	Ministry of Economy and Competitiveness Dr. Teresa Jurado Guerrero Ms Maja Kolar C/ Albacete, 5. 28027-MADRID, SPAIN E-mail: hera@mineco.es Phone: +34 916037990
Sweden VR	The Swedish Research Council Humanities and Social Sciences Mr. Anders Sundin Västra Järnväggsgatan 3, Box 1035, SE-101 38, STOCKHOLM, SWEDEN E-mail address: anders.sundin@vr.se
Switzerland SNF	Schweizerischer Nationalfonds Mr. Daniel Krämer Wildhainweg 3, Postfach 8232 CH-3001 BERN, SWITZERLAND E-mail address: daniel.kraemer@snf.ch
United Kingdom AHRC	Arts and Humanities Research Council Ms. Lucy Parnall/ Ms Jessica Bacon Polaris House North Star Avenue, SWINDON SN2 1FL, UNITED KINGDOM E-mail address: L.Parnall@ahrc.ac.uk / J.Bacon@ahrc.ac.uk

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